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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/678599
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT01092 (0309.4094.901)

Sheet 1 of 20

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
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		CN1500850 (A)	06-02-2004	BorgWarner Inc.		
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Application Number	10/678599
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT01092 (0309.4094.901)

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4674616	06-23-1987	Mannino, Jr.	
		US- 4694937	09-22-1987	Jonas	
		US- 4698889	10-13-1987	Patzer et al.	
		US- 4700020	10-20-1987	Winkler	
		US- 4726455	02-23-1988	East	
		US- 4732247	03-22-1988	Frost	
		US- 4742723	05-10-1988	Lanzerath et al.	
		US- 4743634	05-10-1988	Royer	
		US- 4770283	09-13-1988	Putz et al.	
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		US- 4878282	11-07-1989	Bauer	
		US- 4913267	04-03-1990	Campbell et al.	
		US- 4915856	04-10-1990	Jamison	
		US- 4917743	04-17-1990	Gramberger et al.	
		US- 4918116	04-17-1990	Gardziella et al.	
		US- 4927431	05-22-1990	Buchanan et al.	
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		EP1391629 A1	02-25-2004	BorgWarner Inc.		
		EP1394438 A1	03-03-2004	BorgWarner Inc.		
		EP1396655 A1	03-10-2004	BorgWarner Inc.		
		EP1517062 A1	03-23-2005	BorgWarner Inc.		
		EP1521001 A2	04-06-2005	BorgWarner Inc.		
		EP1521007 A2	04-06-2005	BorgWarner Inc.		

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		Number-Kind Code ² (if known)			
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		US- 4986397	01-22-1991	Vierk	
		US- 4995500	02-26-1991	Payvar	
		US- 4997067	03-05-1991	Watts	
		US- 5004497	04-02-1991	Shibata et al.	
		US- 5017268	05-21-1991	Clitherow et al.	
		US- 5033596	07-23-1991	Genise	
		US- 5038628	08-13-1991	Kayama	
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		US- 5105522	04-21-1992	Gramberger et al.	
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		US- 5164256	11-17-1992	Sato et al.	
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		EP1614926 A1	01-11-2006	BorgWarner Inc.		
		EP1911990 A2	04-16-2008	Honeywell International		
		GB1054890	01-11-1967	Ferodo Lmt.		
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		GB2224285 A	05-02-1990	Honda Giken Kogyo Kabushiki Kaisha		

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Examiner Name	Jennifer A. Steele
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		US- 5221401	06-22-1993	Genise	
		US- 5233736	08-10-1993	Hill	
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		JP07-151174	06-13-1996	BorgWarner Automotive Inc		
		JP07-173301	07-11-1995	BorgWarner Automotive Inc		
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Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT01092 (0309.4094.901)

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		Number-Kind Code ² (if known)			
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		US- 5478642	12-26-1995	McCord	
		US- 5501788	03-26-1996	Romine et al.	
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		US- 5520866	05-28-1996	Kaminski et al.	
		US- 5529666	06-25-1996	Yesnik	
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		JP20000336343	12-05-2000	BorgWarner Inc.		

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		US- 5845754	12-08-1998	Weilant	
		US- 5856244	01-05-1999	Lam et al.	
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Sheet 13 of 20

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5998311	12-07-1999	Nels	
		US- 6000510	12-14-1999	Kirkwood et al.	
		US- 6004750	12-14-1999	Lam	
		US- 6019205	02-01-2000	Willworth	
		US-			
		US- 6042935	03-28-2000	Krenkel et al.	
		US- 6060536	05-09-2000	Matsumoto et al.	
		US- 6065579	05-23-2000	Nels	
		US- 6074950	06-13-2000	Wei	
		US- 6121168	09-19-2000	Irifune et al.	
		US- 6123829	09-26-2000	Zommerman et al.	
		US- 6130176	10-10-2000	Lam	
		US- 6132877	10-17-2000	Winckler et al.	
		US- 6140388	10-31-2000	Nass et al.	
		US- 6163636	12-09-2000	Stentz et al.	
		US- 6182804 B1	02-06-2001	Lam	
		US- 6181050 B1	02-27-2001	Yoonik	
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		US- 6231977 B1	05-15-2001	Suzuki et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP6299434 A	10-25-1994	Staubli Sa Ets		
		JP63066230 A	03-24-1988	Aisin Chem		
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		JP8210402 A	08-20-1996	Nippon Oil Co Ltd		
		JP176667 A	07-08-1997	Nitto Denko Corp		
		JP9324824 A	12-16-1997	Jatco Corp		

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STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/678599
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT01092 (0309.4094.901)

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		Number-Kind Code ² (if known)			
		US- 6265066 B1	07-24-2001	Suzuki et al.	
		US- 6284815 B1	09-04-2001	Sasahara et al.	
		US- 6204040 B1	08-18-2001	Moriwaki et al.	
		US- 6315974 B1	11-13-2004	Murdie et al.	
		US- 6316086 B1	11-13-2001	Beier et al.	
		US- 6323160 B1	11-27-2001	Murdie et al.	
		US- 6362758 B1	03-05-2002	Huang et al.	
		US- 6383605 B1	05-07-2002	Ejiri	
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		US- 6423668 B1	07-23-2002	Nakanishi et al.	
		US- 6432151 B1	08-13-2002	So et al.	
		US- 6432187 B1	08-13-2002	Ogawa et al.	
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		US- 6586373 B2	07-01-2003	Suzuki et al.	
		US- 6601321 B1	08-05-2003	Kendall	
		US- 6808225 B2	10-26-2004	Moriyama	
		US- 6630446 B1	10-07-2003	Lam et al.	
		US- 6638883 B2	10-28-2003	Gaffney et al.	

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		JP5247447 A	09-24-1993	Minnesota Mining, Gen Mot		
		WO2005/102962 A1	11-03-2005	Honeywell International		
		WO2006/101799 A2	09-28-2006	Honeywell International		
		WO2006/116474 A2	11-02-2006	BorgWarner Inc.		
		WO2007/055951 A1	05-18-2007	BorgWarner Inc.		
		WO95/26473	10-05-1995	Valeo		

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Sheet 15 of 20

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Application Number	10/678599
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT01092 (0309.4094.901)

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		Number-Kind Code ² (if known)			
		US- 6652363 B2	11-25-2003	Kramer et al.	
		US- 6668891 B2	12-30-2003	Collis	
		US- 6703117 B2	03-09-2004	Gruber et al.	
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		US- 6855410 B2	12-15-2005	Buckley	
		US- 6875711 B2	05-05-2005	Chen et al.	
		US- 6951504 B2	10-04-2005	Adefris et al.	
		US- 7014027 B2	03-21-2006	Adair et al.	
		US- 7160913 B2	01-09-2007	Schneider	
		US- 7208432 B1	04-24-2007	Beier et al.	
		US- 7204388 B2	11-13-2007	Lam et al.	
		US- 7332240 B2	02-19-2008	O'Hara et al.	
		US- 7429418 B2	09-30-2008	Lam et al.	
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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		WO96/10701 A1	04-11-1996	Nels, Terry E.		
		WO98/09093 A1	03-05-1998	BorgWarner Inc.		
		WO99/35415 A1	07-15-1999	Nels, Terry E.		

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				Filing Date	October 03, 2003
				First Named Inventor	Robert C. Lam
				Art Unit	1794
				Examiner Name	Jennifer A. Steele
Sheet	16	of	20	Attorney Docket Number	DKT01092 (0309.4094.901)

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		Application No. EP01309199 Search Report, completed 15 February 2002	
		Application No. EP02257364 Search Report, completed 3 December 2003	
		Application No. EP03255504 Search Report, completed 4 December 2003	
		Application No. EP03256313 Search Report, completed 5 March 2009	
		Application No. EP04253746 Search Report, completed 3 May 2005	
		Application No. EP04255582 Search Report, completed 15 June 2007	
		Application No. EP04255993 Search Report, completed 5 June 2009	
		Application No. EP05254284 Search Report, completed 2 November 2005	
		Application No. EP05253694 Search Report, completed 18 October 2005	
		Application No. EP05254474 Search Report, completed 21 October 2005	

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		Application No. EP96306350 Search Report, completed 4 December 1996	
		Application No. PCT/US06/15769 International Search Report and Written Opinion, International Filing Date 25 April 2006	
		Application No. PCT/US06/42342 International Search Report and Written Opinion, International Filing Date 31 October 2006	
		Application No. PCT/US07/07788 International Search Report and Written Opinion, International Filing Date 28 March 2007	
		Application No. PCT/US2008/073266 International Search Report, International Filing Date 15 August 2008	
		Application No. PCT/US2008/080617 International Search Report, International Filing Date 21 October 2008	
		Application No. PCT/US97-15260 International Search Report, completed 20 November 1997	

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		ASTM D638-08 Standard Test Method for Tensile Properties of Plastics, Copyright 1996-2009, pp. 1-4 ASTM International, West Conshohocken, PA, US	
		Tensile Property Testing of Plastics; MatWeb: MATERIAL PROPERTY DATA, www.matweb.com/reference/tensilestrength	
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		JOSEPH, Marjory, Introductory Tensile Science, pp. 164, Holt, Rinehart and Wilson, Fifth Edition, Copyright 1986	
		ZHAOTING LIU et al., Synthesis of ZnFe2O4/SiO2 cmposites derived from a diatomite template, Bioinspiration & Biomimetics, 2 (2007) pp.30-35	
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		YAJUN WANG et al., Zeolitization of diatomite to prepare hierarchical porous zeolite materials through a vapor-phase transport ..., j. Mater. Chem, 2002, Vol. 12, pp. 812-1818	
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		Carbon Fiber for Wet-Friction Transmissions, SAE Technical, Off-Highway Engineering/February 1998, pp.46-48	
		HETTINGER, JR. et al., Carboflex and Aerocarb-Ashland's New Low Cost Carbon Fiber and Carbonizing Products for Future Break Applications, 860767, Copyright 1986, pp. 1-11	
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		USSN 12/185,236; FRICTION MATERIAL WITH SILICON, Feng Dong, Filed 08-04-2008	
		USSN 12/084,514; CARBON FRICTION MATERIALS, Feng Dong et al., Filed 05-01-2008	
		USSN 10/871,786; FULLY FIBROUS STRUCTURE FRICTION MATERIAL, Robert C. Lam, Filed 06-18-2004	

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		USSN 12/225,014, FRICTION MATERIALS MADE WITH RESINS CONTAINING POLAR FUNCTIONAL GROUPS, Timothy P. Newcomb, Filed 09-10-2008	
		USSN 10/678,720, FRICTION MATERIAL CONTAINING PARTIALLY CARBONIZED CARBON FIBERS, Robert C. Lam, Filed 10-03-2003	
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		USSN 10/898,882, POROUS FRICTION MATERIAL WITH NANOPARTICLES OF FRICTION MODIFYING MATERIAL, Robert C. Lam, Filed 07-26-2004	
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		USSN 10/916,328, HIGH COEFFICIENT WOVEN FRICTION MATERIAL, Robert C. Lam, Filed 08-11-2004	
		USSN 12/157,901, POROUS FRICTION MATERIAL COMPRISING NANOPARTICLES OF FRICTION MODIFYING MATERIAL, Robert C. Lam, Filed 06-12-2008	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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